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DAC: 17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cheng-Chang LEE, et al. )  
Serial No.: 10/010,494 ) Group: 2871  
Filing Date: November 12, 2001 ) Examiner: not yet assigned  
(as amended) )  
For: "LIQUID CRYSTAL DISPLAY ) Our ref.: B-4374 619286-3  
DEVICE" ) Date: April 24, 2002

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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MAY 08 2002

Sir:

OFFICE OF PETITIONS

REQUEST FOR CORRECTED RECEIPT

RE: FILING DATE

The subject application was submitted pursuant to the Express Mail Procedure of 37 CFR 1.10. The application was deposited with the United States Postal Service (USPS) in an Express Mail envelope with sufficient postage for Express Mailing on November 12, 2001 pursuant to 37 CFR 1.10, but the returned postcard and official filing receipt (copies enclosed) indicate that the United States Patent and Trademark Office (USPTO) considered the filing date to be November 13, 2001.

Enclosed is a copy of the new application transmittal letter from our files. The new application transmittal letter contains a Certification of Express Mailing Date under 37 CFR 1.10 and proper reference to Express Mail Label Number EL896635132US as required by Section 1.10. This information is also contained in the files of the United States Patent and Trademark Office.

Cheryl Liang, the person mailing the papers pursuant to 37 CFR 1.10, has signed a verified statement that the new application was deposited with the USPS in an Express Mail envelope with sufficient postage for Express Mailing on November 12, 2001. The signed verified statement signed by Cheryl Liang is attached.

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Request for Corrected Filing Receipt  
U.S. Patent Appln. No. 10/010,494  
Page 2

Also enclosed is a letter from Michael C. Coleman, a manager of the USPS, indicating that November 12, 2001 is the correct "sign in" date. Attached to the letter are copies of the Express Mail Label Number EL896635132US returned from the USPS and PS Form 5541. It should be noted that the "sign in" clerk at the USPS inadvertently indicated the "date in" to be November 13, 2001, instead of November 12, 2001. However, it is apparent from the enclosed letter from USPS manager Michael C. Coleman and the enclosed PS Form 5541 that November 12, 2001 is the correct "sign in" date.

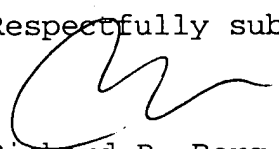
Applicant has complied with the requirements of 37 CFR 1.10 and is entitled to a filing date of November 12, 2001. Therefore, please update all of the records in the USPTO to indicate that the filing date of the above-identified application is November 12, 2001; and please advise us in writing that the USPTO considers

November 12, 2001

to be the filing date of the above-identified U.S. application.

The Commissioner is authorized to charge any additional fees which may be required or credit overpayment to deposit account no. 12-0415.

Respectfully submitted

  
Richard P. Berg  
Reg. No. 28,145  
Attorney for Applicant  
LADAS & PARRY  
5670 Wilshire Boulevard, #2100  
Los Angeles, California 90036  
(323) 934-2300

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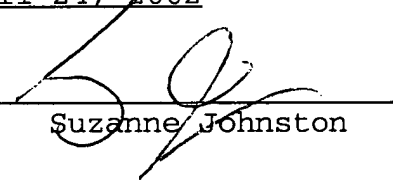
Request for Corrected Filing Receipt  
U.S. Patent Appln. No. 10/010,494  
Page 3

Enclosures: copy of returned postcard  
copy of official filing receipt  
copy of new application transmittal letter  
Verified statement signed by Cheryl Liang  
letter from the Express Mail clerk of the USPS  
with copy of Express Mail label and copy of Pickup  
Service Statement PS Form 5541 returned from the  
USPS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with  
the United States Postal Service with sufficient postage as first-  
class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231, on  
April 24, 2002

By:   
Suzanne Johnston



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/010,494	1/12/2002	2871	740	B-4374 619286-3	9	12	2

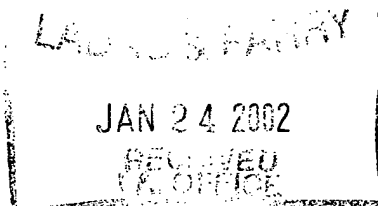
CONFIRMATION NO. 6453

FILING RECEIPT



\*OC00000007320397\*

Richard P. Berg, Esq.  
c/o LADAS & PARRY  
Suite 2100  
5670 Wilshire Boulevard  
Los Angeles, CA 90036-5679



Date Mailed: 01/17/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Cheng-Chang Lee, Taipei Hsien, TAIWAN;  
Hung Huang-Lung, Taipei Hsien, TAIWAN;  
Li Chien-Te, Taipei Hsien, TAIWAN;  
Su - Shent Lun, Taipei Hsien, TAIWAN;  
Liu Ying-Huang, Taipei Hsien, TAIWAN;

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Assignment For Published Patent Application

Acer Inc.;  
AU Optronics Corp.;

Domestic Priority data as claimed by applicant

Foreign Applications

TAIWAN 90119862 08/14/2001

If Required, Foreign Filing License Granted 01/08/2002

Projected Publication Date: 02/20/2003

Non-Publication Request: No

Early Publication Request: No

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Title

Liquid crystal display device

Preliminary Class

349

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

To: USPD (cl)  
FROM: **COMMISSIONER OF THE PATENTS**

Our reference:  
**B-4374 619286-3**

THE PATENT AND TRADEMARK OFFICE  
MAIL ROOM STAMP HEREON ACKNOWLEDGES  
RECEIPT OF: New U.S. Patent Appln., consisting of  
Transmittal letter with Certification under 37 CFR 1.10  
(3 pages); check no. 14168 in the amount of \$740.00;  
7 pages of Specification, 4 pages of Claims,  
1 page of Abstract; Declaration/Power (4 pages);  
9 sheets of formal drawings: (Figs. 1-3, 4a-4b, 5, 6a-c, and 7);  
Assignment (1 page) with cover sheet (1 page);  
check no. 14169 in the amount of \$40.00;  
Claim to Priority (1 page); and this postcard.


Date mailed:  
**November 12, 2001**

Express Mail No.:  
**EL896635132US**

STAMP

IN CONNECTION WITH: **Cheng-Chang LEE, et al.**

FOR: **"LIQUID CRYSTAL DISPLAY DEVICE"**

Jc971 U.S. PTO  
10/010494  
  
11/13/01

Date: November 12, 2001

Commissioner of Patents and Trademarks  
Box New Patent Application  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

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OFFICE OF PETITIONS

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): (1) Cheng-Chang LEE  
(2) Hung HUANG-LUNG  
(3) Li CHIEN-TE  
(4) Su- Shent LUN  
(5) Liu YING-HUANG

NOTE: Patent must be applied for in the name of all  
of the actual inventor or inventors.

For: "LIQUID CRYSTAL DISPLAY DEVICE"

Enclosed are:

The Papers Required For Filing Date Under 37 CFR 1.53(b):

7 Pages of specification 1 Page of abstract 4 Pages of claims  
2 Sheets of drawings [X] formal [ ] informal  
(Figs. 1-3, 4a-4b, 5, 6a-c, and 7)

[X] In addition to the above papers there is also attached

Postcard

Check for filing fee in the amount of \$740.00

Declaration/Power of Attorney (4 pages)

Assignment Cover Sheet (1 page), Assignment document (1 page),  
and Check for \$40.00

Claim to Priority (1 Page)

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OFFICE OF PETITIONS

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed  
herein are being deposited with the United States Postal Service in an Express  
mail envelope with sufficient postage for Express Mailing on this date  
November 12, 2001 in an envelope as "Express Mail Post Office to Addressee"  
mailing Label Number EN96635132US addressed to the:

Commissioner of Patents and Trademarks  
Box New Patent Application  
Washington, D.C. 20231

Cheryl Liang

(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein  
has the number of the "Express Mail" mailing label  
placed thereon prior to mailing. 37 CFR 1.10(b).

## 2. Declaration or oath

☒ Enclosed

☒ original

☐ facsimile

executed by:

☒ inventor(s)

☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43

☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. 37 CFR 1.47.

☐ petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.

☐ Not Enclosed

☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.

☐ Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration must be filed.

## 3. Assignment

☒ An assignment of the invention to

(1) Acer Inc.

(2) AU Optronics Corp.

(with separate cover sheet and separate check for \$40.00)

## 4. Certified Copy

☐ A certified copy of Application from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

## 5. Fee Calculation

CLAIMS		AS FILED		
Number Filed		Number Extra	Rate	Basic Fee \$ 740.00
total Claims	12-20=	0 x	\$ 18.00	0
dependent Claims	2-3=	0 x	\$ 84.00	0
Multiple Dependent Claim(s), If Any		0 x	\$ 280.00	0

☐ Amendment canceling extra claims enclosed

☐ Amendment deleting multiple dependencies enclosed

☐ Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, 37 CFR 1.16(d).

Filing Fee Calculation \$ 740.00



6. Small Entity Statement

☐ Verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ \_\_\_\_\_

NOTE: If a verified statement is filed within 2 months of the date of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

7. Fee Payment Being Made At This Time

☐ Not Enclosed

☐ No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

NOTE: Where the filing is a completion in the U.S. of an international application the fee must be paid.

☒ Enclosed

☒ filing fee \$ 740.00

☐ recording assignment (\$40.00; 37 CFR 1.21(h)(i)) \$ \_\_\_\_\_

☐ petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. 37 CFR 1.47 and 1.17 (h) \$ \_\_\_\_\_

Total fees enclosed \$ 740.00

8. Method of Payment of Fees

☒ check in the amount of \$ 740.00

☐ charge account No. 12-0415 in the amount of \$ \_\_\_\_\_  
A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

9. Authorization to Charge Additional fees

☒ The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))


NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying... issue fee".

10. Instructions As To Overpayment

☒ Credit Account No. 12-0415 ☐ refund

c/o LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, California 90036-5679

Telephone: (323) 934-2300  
Telefax: (323) 934-0202

  
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Attorney  
Reg. No. 28,145